

WELLS
FARGO

CTS CMES MINNEAPOLIS MN
CORPORATE TRUST SERVICES
MAC N9300-060
600 SOUTH 4TH STREET, 6TH FLOOR
MINNEAPOLIS MN 55479



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EPA - REGION 10
Office of Compliance and Enforcement

A TRUST AGREEMENT AMONG J.H. BAXTER
COMPANY AND WELLS FARGO BANK, N.A.,
AS TRUSTEE (EPA REGULATORY TRUST)

ACCOUNT NUMBER (b) (6)
ANNUAL STATEMENT
MAY 1, 2017 THROUGH APRIL 30, 2018

ACCOUNT MANAGER: CHRISTINE GARLICK
TELEPHONE NUMBER: 612-667-1480

U.S. ENVIRONMENTAL PROTECTION AGENCY
ATTN: KIMBERLY OGLE, REGION 10
1200 SIXTH AVE., MAIL STOP WCM-126
SEATTLE, WA 98101

ADMINISTRATOR: PHILIP NORRIS
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J.H. BAXTER CO./EPA REGULATORY TRUST
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ASSET SUMMARY
AS OF APRIL 30, 2018J.H. BAXTER CO./EPA REGULATORY TRUST
ACCOUNT NUMBER (b) (6)

ASSET SUMMARY

INVESTMENT CATEGORY	COST VALUE	MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INCOME
CASH	0.00	0.00		
CASH EQUIVALENTS	6,052.81	6,052.81	0.00	5.99
TOTAL INVESTMENTS	6,052.81	6,052.81	0.00	5.99

STATEMENT OF ASSETS
AS OF APRIL 30, 2018J.H. BAXTER CO./EPA REGULATORY TRUST
ACCOUNT NUMBER (b) (6)

STATEMENT OF ASSETS

PAR VALUE/SHARES	DESCRIPTION	COST VALUE / UNIT COST	MARKET VALUE / UNIT PRICE	UNREALIZED GAIN/LOSS	ACCRUED INCOME
CASH EQUIVALENTS					
6,052.81	WELLS FARGO GOVERNMENT MONEY MARKET SERVICE - #743	6,052.81 1.0000	6,052.81 1.0000	0.00	5.99
TOTAL CASH EQUIVALENTS		6,052.81	6,052.81	0.00	5.99
TOTAL INVESTMENTS		6,052.81	6,052.81	0.00	5.99

Asset Pricing:

Values reflected for publicly-traded assets are provided by unaffiliated financial industry sources believed to be reliable. Values for non-publicly traded assets may be determined from other unaffiliated sources. Assets for which a current value is unavailable may be reflected at the last reported price, at par, or may be shown as having nominal or no value. Reported values may not be the price at which an asset may be sold. Asset values are updated as pricing becomes available from external sources, and may be updated less frequently than statements are generated.

Trade Confirms:

Pursuant to federal regulation, monthly or quarterly account statements that include investment transaction details may be provided in lieu of separate trade confirmations. Separate trade confirms may be obtained at no additional cost upon written request to the account manager.

CASH SUMMARY
FOR THE PERIOD MAY 1, 2017 THROUGH APRIL 30, 2018

J.H. BAXTER CO./EPA REGULATORY TRUST
ACCOUNT NUMBER (b) (6)

CASH SUMMARY

DESCRIPTION	PRINCIPAL CASH	INCOME CASH
BEGINNING BALANCE	0.00	0.00
RECEIPTS		
NET INTEREST COLLECTED	0.00	38.08
TRANSFER RECEIPTS	38.08	0.00
CASH MANAGEMENT SALES	1,500.00	0.00
TOTAL CASH RECEIPTS	1,538.08	38.08
DISBURSEMENTS		
OTHER CASH DISBURSEMENTS	1,500.00-	0.00
TRANSFER DISBURSEMENTS	0.00	38.08-
CASH MANAGEMENT PURCHASES	38.08-	0.00
TOTAL CASH DISBURSEMENTS	1,538.08-	38.08-
ENDING BALANCE	0.00	0.00

STATEMENT OF TRANSACTIONS
FOR THE PERIOD MAY 1, 2017 THROUGH APRIL 30, 2018J.H. BAXTER CO./EPA REGULATORY TRUST
ACCOUNT NUMBER (b) (6)

STATEMENT OF TRANSACTIONS

DATE	PAR VALUE/SHARES	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST VALUE	REALIZED GAIN/LOSS
		<u>BEGINNING BALANCE</u>	<u>0.00</u>	<u>0.00</u>	<u>7,514.73</u>	
05/01/17		INTEREST RECEIVED WF GOVERNMENT MM FD-SVC #743 INTEREST FROM 4/1/17 TO 4/30/17		1.87		
05/01/17		TRANSFER TO PRINCIPAL		1.87-		
05/01/17		ADDITION TO ACCOUNT TRANSFER FROM INCOME	1.87			
05/16/17		OTHER MISC DISBURSEMENT PAID TO WELLS FARGO FEES WF CTS FEES & EXPENSES -INVOICE# 1440266	1,500.00-			
06/01/17		INTEREST RECEIVED WF GOVERNMENT MM FD-SVC #743 INTEREST FROM 5/1/17 TO 5/31/17		1.90		
06/01/17		TRANSFER TO PRINCIPAL		1.90-		
06/01/17		ADDITION TO ACCOUNT TRANSFER FROM INCOME	1.90			
07/03/17		INTEREST RECEIVED WF GOVERNMENT MM FD-SVC #743 INTEREST FROM 6/1/17 TO 6/30/17		2.18		
07/03/17		TRANSFER TO PRINCIPAL		2.18-		
07/03/17		ADDITION TO ACCOUNT TRANSFER FROM INCOME	2.18			

STATEMENT OF TRANSACTIONS
FOR THE PERIOD MAY 1, 2017 THROUGH APRIL 30, 2018J.H. BAXTER CO./EPA REGULATORY TRUST
ACCOUNT NUMBER (b) (6)

STATEMENT OF TRANSACTIONS

DATE	PAR VALUE/SHARES	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST VALUE	REALIZED GAIN/LOSS
08/01/17		INTEREST RECEIVED WF GOVERNMENT MM FD-SVC #743 INTEREST FROM 7/1/17 TO 7/31/17		2.65		
08/01/17		TRANSFER TO PRINCIPAL		2.65-		
08/01/17		ADDITION TO ACCOUNT TRANSFER FROM INCOME	2.65			
09/01/17		INTEREST RECEIVED WF GOVERNMENT MM FD-SVC #743 INTEREST FROM 8/1/17 TO 8/31/17		2.80		
09/01/17		TRANSFER TO PRINCIPAL		2.80-		
09/01/17		ADDITION TO ACCOUNT TRANSFER FROM INCOME	2.80			
10/02/17		INTEREST RECEIVED WF GOVERNMENT MM FD-SVC #743 INTEREST FROM 9/1/17 TO 9/30/17		2.78		
10/02/17		TRANSFER TO PRINCIPAL		2.78-		
10/02/17		ADDITION TO ACCOUNT TRANSFER FROM INCOME	2.78			
11/01/17		INTEREST RECEIVED WF GOVERNMENT MM FD-SVC #743 INTEREST FROM 10/1/17 TO 10/31/17		2.98		
11/01/17		TRANSFER TO PRINCIPAL		2.98-		
11/01/17		ADDITION TO ACCOUNT TRANSFER FROM INCOME	2.98			

STATEMENT OF TRANSACTIONS
FOR THE PERIOD MAY 1, 2017 THROUGH APRIL 30, 2018J.H. BAXTER CO./EPA REGULATORY TRUST
ACCOUNT NUMBER (b) (6)

STATEMENT OF TRANSACTIONS

DATE	PAR VALUE/SHARES	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST VALUE	REALIZED GAIN/LOSS
12/01/17		INTEREST RECEIVED WF GOVERNMENT MM FD-SVC #743 INTEREST FROM 11/1/17 TO 11/30/17		3.09		
12/01/17		TRANSFER TO PRINCIPAL		3.09-		
12/01/17		ADDITION TO ACCOUNT TRANSFER FROM INCOME	3.09			
01/02/18		INTEREST RECEIVED WF GOVERNMENT MM FD-SVC #743 INTEREST FROM 12/1/17 TO 12/31/17		3.83		
01/02/18		TRANSFER TO PRINCIPAL		3.83-		
01/02/18		ADDITION TO ACCOUNT TRANSFER FROM INCOME	3.83			
02/01/18		INTEREST RECEIVED WF GOVERNMENT MM FD-SVC #743 INTEREST FROM 1/1/18 TO 1/31/18		4.40		
02/01/18		TRANSFER TO PRINCIPAL		4.40-		
02/01/18		ADDITION TO ACCOUNT TRANSFER FROM INCOME	4.40			
03/01/18		INTEREST RECEIVED WF GOVERNMENT MM FD-SVC #743 INTEREST FROM 2/1/18 TO 2/28/18		4.18		
03/01/18		TRANSFER TO PRINCIPAL		4.18-		
03/01/18		ADDITION TO ACCOUNT TRANSFER FROM INCOME	4.18			

STATEMENT OF TRANSACTIONS
FOR THE PERIOD MAY 1, 2017 THROUGH APRIL 30, 2018J.H. BAXTER CO./EPA REGULATORY TRUST
ACCOUNT NUMBER (b) (6)

STATEMENT OF TRANSACTIONS

DATE	PAR VALUE/SHARES	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST VALUE	REALIZED GAIN/LOSS
04/02/18		INTEREST RECEIVED WF GOVERNMENT MM FD-SVC #743 INTEREST FROM 3/1/18 TO 3/31/18		5.42		
04/02/18		TRANSFER TO PRINCIPAL		5.42-		
04/02/18		ADDITION TO ACCOUNT TRANSFER FROM INCOME	5.42			
04/30/18	38.08	CASH SWEEP PURCHASES FOR STMT PERIOD WF GOVERNMENT MM FD-SVC #743 12 TRANSACTIONS	38.08-		38.08	
04/30/18	1,500-	CASH SWEEP SALES FOR STMT PERIOD WF GOVERNMENT MM FD-SVC #743 1 TRANSACTION	1,500.00		1,500.00-	
ENDING BALANCE			0.00	0.00	6,052.81	

CASH MANAGEMENT TRANSACTION JOURNAL
FOR THE PERIOD MAY 1, 2017 THROUGH APRIL 30, 2018J.H. BAXTER CO./EPA REGULATORY TRUST
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CASH MANAGEMENT TRANSACTION JOURNAL

DATE	PAR VALUE/SHARES	DESCRIPTION	PRINCIPAL CASH	INCOME CASH
05/01/17	1.87	PURCHASED WF GOVERNMENT MM FD-SVC #743	1.87-	
05/16/17	1,500	SOLD WF GOVERNMENT MM FD-SVC #743	1,500.00	
06/01/17	1.9	PURCHASED WF GOVERNMENT MM FD-SVC #743	1.90-	
07/03/17	2.18	PURCHASED WF GOVERNMENT MM FD-SVC #743	2.18-	
08/01/17	2.65	PURCHASED WF GOVERNMENT MM FD-SVC #743	2.65-	
09/01/17	2.8	PURCHASED WF GOVERNMENT MM FD-SVC #743	2.80-	
10/02/17	2.78	PURCHASED WF GOVERNMENT MM FD-SVC #743	2.78-	
11/01/17	2.98	PURCHASED WF GOVERNMENT MM FD-SVC #743	2.98-	
12/01/17	3.09	PURCHASED WF GOVERNMENT MM FD-SVC #743	3.09-	
01/02/18	3.83	PURCHASED WF GOVERNMENT MM FD-SVC #743	3.83-	
02/01/18	4.4	PURCHASED WF GOVERNMENT MM FD-SVC #743	4.40-	
03/01/18	4.18	PURCHASED WF GOVERNMENT MM FD-SVC #743	4.18-	



CASH MANAGEMENT TRANSACTION JOURNAL
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CASH MANAGEMENT TRANSACTION JOURNAL

DATE	PAR VALUE/SHARES	DESCRIPTION	PRINCIPAL CASH	INCOME CASH
04/02/18	5.42	PURCHASED WF GOVERNMENT MM FD-SVC #743	5.42-	
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WF GOVERNMENT MM FD-SVC #743		TOTAL PURCHASES/DEPOSITS	38.08-	0.00
		TOTAL SALES/WITHDRAWALS	1,500.00	0.00